

CLAIMS REGISTER OF ALL PROOFS OF CLAIM SUBMITTED TO RECEIVER BY THE SEPTEMBER 20, 2013 BAR DATE

No.	Claimant	Shares *	Fund(s)	Principal Funds **	Pre 5/25/05 Profits ***	Pre 5/25/05 Distributions	Post 5/25/05 Profits
1	E. Ronald Salvitti, M.D.	91,993.83	ATGF	\$6,000,000.00	\$6,000,000.00	\$0.00	Unknown
2	John Preetzmann - Aggerholm		GFRDA	\$279,745.76	\$198,140.10	\$45,000.00	\$992,502.73
3	QGC Nominees Limited a/k/a Quilter Cheviot Limited f/k/a Quilter Goodison Ltd.	1,642.46	ATGF	\$95,000.00	-\$60,984.65	\$0.00	Unknown
4	Lisa Mayer & Debra Mayer c/o Begos Brown & Green LLP		GFRDA	\$11,972,910.93	\$836,350.40	\$1,153,867.26	\$26,920,054.14
		14,784.21	ATGF	\$446,168.79	Unknown	Unknown	Unknown
		243,902.43	Intouch	Unknown	Unknown	Unknown	Unknown
6	Elizabeth Knope	Unknown	Unknown	\$84,774.05	Unknown	None	Unknown
7	Michael Walsh	6,057.22	ATGF	\$251,812.46	Unknown	None	Unknown
8	Surinder Rametra	1,957.98	ATGF	\$250,000.00	Unknown	None	Unknown
9	Sheridan Securities	16,917.57	ATGF	\$500,000.00	None	None	None
10	James Charles	22,400.00	ATGF	\$175,726.14	\$271,749.70	None	Unknown
11	Ariadana Sanchez	Unknown	GFRDA	\$100,000.00	\$1,976,275.64	Unknown	Unknown
12	Robin Sayko	6,057.22	ATGF	\$251,812.46	\$23,920.53	None	Unknown
13	Donald & Marilyn Walsh	6,057.22	ATGF	\$251,812.46	Unknown	None	Unknown
14	Frank Harris	Unknown	GFRDA	\$50,000.00	Unknown	None	Unknown
15	Charles Kaye	1,630.18	ATGF	\$174,988.00	\$208,146.00	none	Unknown
16	Alfred Heitkonig for himself and on behalf of Elna Charlotte Olga a/k/a Elna Heitkoenig and Maaie Maria Hickok a/k/a Heitkoenig	90,000.00	ATGF, GFRDA	\$6,875,896.73	Unknown	Unknown	\$7,479,156.40
17	The Winsford Corporation	Unknown	ATGF	\$1,500,000.00	Unknown	None	Unknown
18	Peter Sweetland	1,194.32	ATGF	\$41,670.47	Unknown	Unknown	Unknown
19	John Sweetland	113,859.84	ATGF	\$2,846,496.00	Unknown	Unknown	Unknown
20	Timothy Sweetland	2,823.54	ATGF	\$191,671.47	Unknown	Unknown	Unknown
21	John Sweetland	1,194.32	ATGF	\$41,670.47	Unknown	Unknown	Unknown
22	Anthony W. Gibbs	Unknown	ATGF	\$1,287,618.98	Unknown	\$30,395.04	Unknown
23	Patricia A. Kabara	6,057.72	ATGF, Rhodes Capital	\$251,812.46	Unknown	\$189,403.00	Unknown
24	National Investors Group Holdings Ltd. f/k/a NIG-Amertech Ltd.	7,799.61	ATGF	\$1,000,000.00	Unknown	None	Unknown
25	Angelica Jordan	Unknown	GFRDA, other	\$5,252,226.64	Unknown	None	\$7,356,893.16

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29a	Paul Marcus	146,105.04	ATGF	\$8,451,040.96	Unknown	Unknown	Unknown
29b	The Deane J. Marcus Trust	20,559.00	ATGF	\$200,131.51	Unknown	Unknown	Unknown
29c	The Steven E. Marcus Trust	20,559.00	ATGF	\$200,131.51	Unknown	Unknown	Unknown
29d	The Cheryl Marcus-Podhaizer Trust	20,559.00	ATGF	\$200,131.51	Unknown	Unknown	Unknown
29e	The Eve S. Marcus Children's Trust	946.40	ATGF	\$30,000.00	Unknown	Unknown	Unknown
30	Imagineers Profit Sharing Plan	6,941.16	ATGF	\$169,503.13	Unknown	Unknown	Unknown
				\$49,424,752.89	\$9,453,597.72	\$1,418,665.30	\$42,748,606.43

* Many Claimants asserted they did not receive regular account statements. Claimants therefore determined their respective Share amount from account statements received some time after the initial investment.

** Claimants often determined the Principal Fund amount from based net asset values reflected in statements issues at point after their initial investment.

*** Individual claimants employed a wide variety of data sources and methods to determine pre and post 5/25/05 Profits.

NON-INVESTOR CLAIMS

No.	Claimant	Amount	Notes
5	Traveler's Bond and Financial Products c/o Sherrie Monteiro, Recovery Management Unit	\$1,000,000.00	Claimant does not assert any claim based on investment but for repayment of defense costs advanced to Vilar and Tanaka
26	The Amerindo Advisories UK Ltd Retirement Benefits Scheme	\$10,551,153.00	Claimant does not assert any claim based on investment but for the fund value of JP Morgan 102-05012, held in the name of The Trustees of the Amerindo Advisories (UK) Ltd.
27	Latchezar Christov	None	Claimant does not assert any claim based on investment but for "finder's fee" for introducing potential clients to Amerindo.
28	J.P. Morgan Securities LLC	None	Claimant does not assert any claim based on investment but for legal fees and expenses incurred by claimant in connection with various litigations, investigations and proceedings